

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John Edward Woods et al.

U.S. Serial No.: 10/791,918

Filing Date: March 3, 2004

Title: SYSTEM AND METHOD FOR MANAGING INVESTMENT FUNDS

Group Art Unit: 3693

Examiner: James A. Vezeris

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Dear Sir/Madam:

In response to the Final Office Action mailed July 7, 2009, a Response to Office Action and Request for Continued Examination was submitted for the Examiner's consideration on December 4, 2009. A Notice of Non-Compliant Amendment was mailed on December 11, 2009, in response to this prior Amendment. In response to the Notice of Non-Compliant Amendment the Amendment is resubmitted with the proper electronic signature as required by 37 CFR 1.4.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.